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PATENTS  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants:** Lawrence Jacobowitz, et al.

**Docket:** FIS920010129US1 (14566)

**Serial No.:** unassigned

**Dated:** September 26, 2001

**Filed:** herewith

**For:** FIRST AND SECOND DERIVATIVE PROCESSING OF  
WAVELENGTH MULTIPLEXED OPTICAL SIGNALS



Assistant Commissioner for Patents  
Washington, DC 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, applicants submit the following references which applicants believe may be material to the above-identified patent application. A copy of the references which applicants wish to make of record in this case is enclosed herein for the Examiner's convenience along with a listing on Form PTO-1449 attached.

1. "INTRODUCTION TO DWDM TECHNOLOGY Data in a Rainbow", by Stamatis V. Kartalopoulos, Lucent Technologies, Inc., IEEE communications Society, Sponsor, SPIE OPTICAL ENGINEERING PRESS, pp. 65-68;

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I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Dated: 9/26/01

Karen Cinqmars

2. "INTRODUCTION TO DWDM TECHNOLOGY Data in a Rainbow",  
by Stamatios V. Kartalopoulos, Chapter 9, pp. 131-136;

3. "Distributed feedback semiconductor lasers", by John Carroll, et al.,  
IEE Circuits, Devices and Systems Series 10, SPIE Press Monograph Volume PM52,  
1998, pp. 7-15;

4. "Micromachining system accommodates large wafers", by Robert  
Bann, et al., Laser Focus World -The 2001 Annual Survey of the Laser Marketplace,  
January 2001, pp. 189-192.

This Information Disclosure Statement is being submitted with the filing  
of this application; thus no fee or certification under 37 C.F.R. §1.97(e) is required.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Steven Fischman', with a long horizontal flourish extending to the right.

Steven Fischman  
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